Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Service, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 222024302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188) Washington, DC 20503. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 1. REPORT DATE (DD-MM-YYYY) 2. REPORT DATE 3. DATES COVERED (From - To) 04-01-2001 Workshop Report 14-10-1999 to 16-10-1999 4. TITLE AND SUBTITLE 5a. CONTRACT NUMBER International Workshop on Wave Breaking Turbulence, '99 5b. GRANT NUMBER N00014-00-1-0047 5c. PROGRAM ELEMENT NUMBER 6. AUTHOR(S) 5d. PROJECT NUMBER Cowen, Edwin A. Liu, Philip L.-F. 5e. TASK NUMBER Cox, Daniel T. 5f. WORK UNIT NUMBER 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER 36926 Cornell University, Ithaca, NY 14853 Texas A&M University, College Station, TX 77845 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSOR/MONITOR'S ACRONYM(S) Office of Naval Research, 800 North Quincy Street, ONR, NSF Arlington, VA 22217-5660 11. SPONSORING/MONITORING National Science Foundation, 4201 Wilson Boulevard AGENCY REPORT NUMBER Arlington, VA 22230 12. DISTRIBUTION AVAILABILITY STATEMENT Approved for Public Releases 20020610 091 13. SUPPLEMENTARY NOTES 14. ABSTRACT The fundamental activity conducted under this project was to hold a workshop on wave breaking turbulence. The workshop was held October 14-16, 1999, at Cornell University, Ithaca, NY, and organized around laboratory research, field work, numerical modeling, and an integration of these areas. A half day was given to

each area, with a format that consisted of a 30-minute talk in the morning, followed by several shorter talks, and then followed by free discussion. Important areas for future research were identified.

15. SUBJECT TERMS

Wave Breaking, Turbulence, Two-Phase Flow, Coherent Motions, Boundary Layers, Swash Zone, Surf Zone, Wave-Turbulence Decomposition, Hydrodynamic Instrumentation, Computational Fluid Dynamics

16. SECURITY	CLASSIFICATIO	N OF:		18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			Edwin A. Cowen
					19b. TELEPONE NUMBER (Include area code)
U	U	U	UU	8	607-255-5140

International Workshop on Wave Breaking Turbulence, '99

October 14–16, 1999 Cornell University, Ithaca, New York

End of Award Report to the Office of Naval Research January 4, 2001

E. A. Cowen, P. L.-F. Liu

Cornell University

D. T. Cox

Texas A&M University

Activities

The fundamental activity conducted under this projet was to conduct a workshop on wave breaking turbulence. The idea of a workshop focused on wave breaking turbulence was discussed between Philip Liu (Cornell University), Edwin Cowen (Cornell University), and Daniel Cox (Texas A&M University) in January, 1999. Over thirty researchers in the nearshore community were contacted, including representatives from universities and national laboratories in the U.S. and abroad. The response to the proposed workshop was very strong. The workshop was scheduled for October 14 - 16, 1999, at Cornell University, Ithaca, New York. The workshop was organized around laboratory research, field work, numerical modeling, and an integration of these areas. A half day was given to each area, with a format consisted of a thirty minute talk in the morning, followed by several shorter talks, and then followed by free discussion.

The workshop participants gratefully acknowledge the financial support of both the National Science Foundation and the Office of Naval Research. Five distinct programs within the National Science Foundation provided support, three within the Engineering Directorate: Division of Civil & Mechanical Systems, Hazard Reduction Program (Clifford J. Astill, Program Director); Division of Chemical & Transport Systems, Fluid Dynamics and Hydraulics Program (John F. Foss, Program Director); Division of Bioengineering and Environmental Systems, Environmental Technology Program (A. Frederick Thompson, Program Director); and two within the Geosciences Directorate: Division of Ocean Sciences, Physical Oceanography (Eric C. Itsweire, Program Director); Division of Earth Sciences, Hydrologic Sciences (L. Douglas James, Program Director). Significant support was also provided by the Office of Naval Research, Department of Ocean, Atmosphere, and Space Science and Technology, Sensing and Systems Division, Coastal Dynamics Program (Thomas Kinder, Team Leader).

Workshop Agenda

International Workshop on Wave Breaking Turbulence '99

Sponsored by the National Science Foundation and Office of Naval Research
October 14-15, 1999 (McManus Lounge, 166 Hollister Hall)

THURSDAY, OCTOBER 14

7:45 - 8:20 BREAKFAST AND REGISTRATION

Field research session, Moderators Tim Stanton and Ed Thornton

8:20 - 8:35 8:35 - 9:05	Opening Remarks Dr. Tim Stanton and Dr. Edward Thornton, Naval Postgraduate School	
9:05 - 9:20	Dr. Eugene A. Terray, Woods Hole Oceanographic Institution	
9:20 - 9:35	Dr. David M. Farmer, Institute of Ocean Sciences	
9:35 - 9:50	Dr. Eric Terrill and Dr. W. Kendall Melville, Univ. of California at San Diego	
9: 50 - 10:05	Dr. Stefan Aarninkhof and Dr. Marcel Stive, Delft University of Technology	
10:05 - 10:15	Dr. Harry Yeh, University of Washington	
10:15 - 10:30	Dr. Britt Raubenheimer, Woods Hole Oceanographic Institution	
10:30 - 10:45	BREAK	
10:45 - 12:15	Discussion of field research, Moderators Tim Stanton and Ed Thornton	
12:15 - 1:00	LUNCH - Served in McManus Lounge	
Laboratory research session, Moderators Ken Melville and Fred Raichlen		

1:00 - 1:15	Dr. W. Kendall Melville, University of California at San Diego
1:15 - 1:25	Dr. James H. Duncan, University of Maryland
1:25 -1:35	Dr. Donald O. Rockwell, Lehigh University
1:35 - 1:45	Dr. Emily Pidgeon, Stanford University/Flow Science Incorporated
1:45 - 1:55	Dr. Chin Wu, University of Wisconsin
1:55 - 2:05	Dr. Guillemette Caulliez, IRPHE Laboratory
2:05 - 2:15	Dr. Andrew T. Jessup, University of Washington
2:15 - 2:25	Dr. Ira Leifer, University of California, Santa Barbara
2:25 - 2:35	Dr. Yassin Hassan, Texas A & M University
2:35 - 2:45	Dr. Frederic Raichlen, California Institute of Technology
2:45 - 2:55	Dr. Javier Lopez and Dr. Inigo Losada, University of Cantabria
2:55 - 3:05	Dr. Francis Ting, South Dakota State University
3:05 - 3:15	Dr. Tsutomu Sakakiyama, Central Research Institute of Electric Power Industry
3:15 - 3:30	BREAK
3:30 - 4:45	Discussion of laboratory research, Moderators Ken Melville and Fred Raichlen
4:45 - 5:30	Lab Tour and Reception - Defreees Hydraulics Laboratory
5:30	Bus back to hotel
6:30 - 9:30	Dinner, Dano's Restaurant (1 block from hotel)

FRIDAY, OCTOBER 15

7:45 - 8:30 BREAKFAST

Numerical/Analytical research session, Moderator Phil Liu

8:30 - 8:43	Dr. Philip LF. Liu, Cornell University
8:43 - 8:56	Dr. Kelli Hendrikson and Dr. Richard K-P Yue, Massachusetts Institute of Technology
8:56 - 9:09	Dr. Douglas G. Dommermuth, Science Applications International Corporation
9:09 - 9:22	Dr. Yasunori Watanabe, Hokkaido University/Cornell University
9:22 - 9:35	Dr. Stefan Mayer, Per Madsen, and Harry Bingham, Technical University of Denmark
9:35 - 9:48	Dr. Robert A. Dalrymple, University of Delaware
9:48 - 10:01	Dr. Maurizio Brocchini, University of Genova
10:01 - 10:12	Dr. Ib Svendsen, University of Delaware
10:12 - 10:25	Dr. James T. Jenkins, Cornell University
10:25 - 10:45	BREAK
10:45 - 12:15	Discussion numerical/analytical research, Moderator Phil Liu
12:15 - 1:00	LUNCH

Integral research session, Moderators Kazuo Nadaoka and Marshall Tulin

1:00 - 1:30	Dr. Kazuo Nadaoka, Tokyo Institute of Technology
1:30 - 1:45	Dr. Steve Elgar, Woods Hole Oceanographic Institute
1:45 - 2:00	Dr. Akio Okayasu, Yokohama National University
2:00 - 2:15	Dr. Daniel Cox, Texas A & M University
2:15 - 2:30	Dr. Merrick Haller, University of Michigan
2:30 - 2:45	Dr. Tetsu Hara, University of Rhode Island
2:45 - 3:00	Dr. Marshall Tulin, University of California at Santa Barbara
3:00 - 3:15	BREAK
3:15 - 4:45	Discussion of integral research, Moderators Kazuo Nadaoka and Marshall Tulin
4:45 - 5:00	Closing Remarks
5:00	Bus back to hotel

Saturday, October 16

9:00 - 12:00 Summary session - all invited to participate, 208 Hollister Hall

Participants

Aarninkhof, Stefan, Marine and Coastal Management, Delft University of Technology, PO Box 2600 MH Delft, The Netherlands, stefan.aarninkhof@wldelft.nl.

Brocchini, Maurizio, University of Geonva, Via Montallegro 1, 16145 Genova, Italy, brocchini@diam.unige.it.

Caulliez, Guillemette, IRPHE Laboratoire IOA, 163 av. de Luminy - Case 903, 13288 Marseille Cedex 9, France, guil@polluz,irphe.univ-mrs.fr.

Chang, Kuang-An, Department of Civil Engineering, MS 3136, Texas A & M University, College Station, TX 77843-3136, kchang@civilmail.tamu.edu

Cowen, Edwin, School of Civil and Environmental Engineering, Cornell University, Hollister Hall, Ithaca, NY 14853, eac20@cornell.edu.

Cox, Daniel, Department of Civil Engineering, MS 3136, Texas A & M University, College Station, TX 77843-3136, dtc@eddycat.tamu.edu.

Dalrymple, Robert, Center for Applied Coastal Research, University of Delaware, Newark, DE 19716, rad@udel.edu.

Dommermuth, Douglas, Science Applications International Corporation, 10260 Campus Point Dr., MS 34, San Diego, CA 92121, douglas.g.dommermuth@cpmx.saic.com.

Duncan, James H., Department of Mechanical Engineering, University of Maryland, College Park, MD 20742, duncan@eng.umd.edu.

Elgar, Steve, Woods Hole Oceanographic Institute, 266 Woods Hole Road, MS 11, Woods Hole, MA 02543, elgar@whoi.edu.

Farmer, David, Institute of Ocean Sciences, 9860 West Saanich Road, Sidney, BC V8L482 Canada, farmerd@dfo-mpo.gc.ca.

Foss, John F. – representing the National Science Foundation, Department of Mechanical Engineering, Research Complex - Engineering, Michigan State University, East Lansing, MI 48824, foss@egr.msu.edu.

Guza, John, Scripps Institution of Oceanography, University of California, San Diego, LaJolla, CA 92093-0209, rtg@coast.ucsd.edu.

Haller, Merrick, ERIM International, PO Box 134008, Ann Arbor, MI 481113-4008, merrick@erimint.com.

Hara, Tetsu, Graduate School of Oceanography, University of Rhode Island, Narragansett, RI 02882, thara@uri.edu.

Hassan, *Yassin*, Department of Nuclear Engineering, Texas A & M University, College Station, TX 77843-3133, y-hassan@tamu.edu.

Hendrikson, *Kelli*, Hydrodynamics and Ocean Engineering, Massachusetts Institute of Technology, Room 5-321, Cambridge, MA 02139, kelli@marine.mit.edu.

Hill, David, Department of Civil and Environmental Engineering, Pennsylvania State University, 212 Sackett Building, University Park, PA 16802, dfhill@engr.psu.edu.

Jenkins, James, Department of Theoretical and Applied Mechanics, Cornell University, Kimball Hall, Ithaca, NY 15853, jtj2@cornell.edu.

Jessup, Andrew T., Applied Physics Laboratory, University of Washington, 1013 NE 40th Street, Seattle, WA 98105-6698, jessup@apl.washington.edu.

Kiger, Ken, Department of Mechanical Engineering, University of Maryland, College Park, MD 20742, kkigre@engr.umd.edu.

Kinder, Thomas H. - representing the Office of Naval Research, ONR 321 CD Room 428, 800 N. Quincy St., Arlington, VA 22217-5660, kindert@onr.navy.mil.

Leifer, Ira, Department of Chemical Engineering, University of California at Santa Barbara, Building 2, Room 3357, Santa Barbara, CA 93106,

Liu, Philip L.-F., School of Civil and Environmental Engineering, Cornell University, Hollister Hall, Ithaca, NY 14853, pll3@cornell.edu.

Lopez, Javier, Ocean and Coastal Research Group, Universidad de Cantabria, E.T.S.I.C.C.y P. Av. de los Castros s/n 39005 Santander, Spain, javier@puer.unican.es.

Mayer, Stefan, Department of Mathematical Modelling, Technical University of Denmark, DK-2800 Lyngby, Denmark, stm@imm.dtu.dk.

Melville, *W. Kendall*, Scripps Institution of Oceanography, University of California, San Diego, LoJolla, CA 92903-0208, melville@mpl.ucsd.edu.

Nadaoka, Kazuo, Tokyo Institute of Technology, 2-12-1 O-okayama, Meguro-ku, Tokyo, 152-8552, Japan, okayasu@ynu.ac.jp.

Okayasu, Akio, Department of Civil Engineering, Yokohama National University, Japan, okayasu@ynu.ac.jp.

Perez, Emma, Department of Mechanical and Environmental Engineering, University of California at Santa Barbara, 2355, Building II, Santa Barbara, CA 93106, emmacpb@yahoo.com.

Pidgeon, Emily, Department of Civil and Environmental Engineering, Stanford University, Stanford, CA 94305-4020, emily.pidgeon@stanfordalumni.org.

Raichlen, Frederic, Caltech, Mail Code 138-78, Pasadenia, CA 91125, raichlen@its.caltech.edu.

Raubenheimer, Britt, Woods Hole Oceanographic Institute, MS 12, 266 Woods Hole Road, Woods Hole, MA 02543, britt@whoi.edu.

Rockwell, Donald O., Department of Mechanical Engineering and Mechanics, Lehigh University, 354 Packard Laboratory, 19 Memorial Drive West, Bethlehem, PA 18015, dor0@lehigh.edu.

Sakakiyama, Tsutomu, Central Research Institute of Electric Power Industry, 1646 Abiko, Abiko-shi, Chiba 270-1194, Japan.

Sheridan, John, Monash University, Melbourne, Australia.

Stanton, Tim, Department of Oceanography, Naval Postgraduate School, Monterey, CA 93043, stanton@oc.nps.navy.mil.

Svendsen, Ib, Center for Applied Coastal Research, University of Delaware, Newark, DE 19716, ias@mail.coastal.udel.edu.

Terray, Eugene, Woods Hole Oceanographic Institute, MS 11, 217 Bigelow, Woods Hol, MA 02543, eterray@whoi.edu.

Thornton, Edward, Department of Oceanography, Naval Postgraduate School, Monterey, CA 93043, thornton@hope.oc.nps.navy.mil.

Ting, Francis, South Dakota State University, francisting@sdstate.edu.

Tulin, Marshall, Ocean Engineering Laboratory, University of California, Santa Barbara, CA 93106-1080, mpt@engineering.ucsb.edu.

Watanabe, Yasunori, Kokkaido University/Cornell University.

Wu, Chin H., Department of Civil and Environmental Engineering, University of Wisconsin, 1269D Engineering Hall, Madison, WI 53706, chinwu@engr.wisc.edu.

Yeh, Harry, Department of Civil and Environmental Engineering, University of Washington, Box 352700, Seattle, WA 98195-2700.

International Workshop on Wave Breaking Turbulence, '99

October 14–16, 1999 Cornell University, Ithaca, New York

End of Award Report to the Office of Naval Research January 4, 2001

E. A. Cowen, P. L.-F. Liu

Cornell University

D. T. Cox

Texas A&M University

1 Introduction

The wave breaking process itself unifies small- and mid-scale nearshore research, but it is one of the least understood. A report on the state of nearshore processes research from a workshop held in 1989 in St. Petersburg, Florida, listed the dynamics of wave breaking as one of five priority research areas [Holman et al, 1990]. Nearly ten years later, a second workshop at same venue reported wave breaking and the associated turbulence as one of five priority science issues in nearshore research [Thornton, 2000]. The intent of the workshop held in Ithaca was to focus on wave breaking turbulence.

In addition to nearshore processes such as wave transformation, currents, and sediments suspension and transport, wave breaking also plays an important role in gas exchange, dispersion of pollutants, optical and acoustical ocean properties, remote sensing, and the bow waves of ships. A number of researchers in these areas which are traditionally focused on steepness-limited wave breaking were invited to broaden the scope from simply depth-limited wave breaking phenomena.

Our understanding of wave breaking has been limited by several factors, including our inability to make high resolution field measurements due to the harsh environment and high bubble content, the limitations of single point turbulence measurements in the laboratory, the challenge of separating turbulence from unsteady quasi-periodic flows, and the limitations of numerically modeling turbulence in unsteady, multi-phase flow. Recent advances in measurement technology such as acoustic- and laser-Doppler velocimetry in the field and particle image velocimetry in the laboratory as well as numerical modeling techniques such as large eddy simulation have lead to some promising results and we are now at point where these developing technologies are mature enough that they may lead to significant breakthroughs in our fundamental understanding of wave breaking turbulence. Furthermore, research on multi-phase flow in other disciplines such as chemical and nuclear engineering is significantly advanced and these advances could be applied to research on nearshore and ocean processes.

The workshop brought together researchers working on the topic of wave breaking turbulence from these main areas (field, laboratory, numerical) as well as deep water (steepness-limited) and shallow water (depth-limited) wave breaking in an effort to assess the current state of and seek across field guidance for the future of the field of wave breaking turbulence.

2 Findings

The following list, generated by reviewing and compiling the abstracts sent by each participant prior to the workshop, notes taken during the workshop, video taken during the workshop, and a summary discussion following the workshop, are the important research areas as identified by the workshop participants.

Important Research Areas

- Breaking Criteria and Initiation of Wave Breaking
- Turbulence Production and Initial Air Entrainment
- Buoyancy effects and Two-phase (air-water) flow
- Large Eddies and Coherent Motions
- Temporal and Spatial Gradients of Turbulence Intensity and Vorticity
- Effects of Wave Breaking Induced Turbulence on Bottom Boundary Layer Dynamics
- Wave-Turbulence Decomposition and Analysis
- Reconciliation of Direct Turbulence Measurements with Indirect (Integral) Approaches
- Swash Zone Turbulence Relative Importance of Bore Turbulence and Bed Shear Stress
- Development of Transport Equations for Multi-phase Systems
- Instrumentation
- Numerical Modeling

In view of this list, it is noted that the intent of the workshop was to focus on wave breaking turbulence itself. For this reason, associated research areas such as gas exchange, pollutant mixing, and sediment suspension are not included directly, but the importance of these processes in relation to wave breaking is implicit to many of the listed research areas.

3 References

Holman, R.A., Bowen, A.J., Dalrymple, R.A., Dean, R.G., Elgar, S., Flick, R., Freilich, M., Guza, R.T., Hanes, D., Kirby, J., Madsen, O., Sternberg, R. and Svendsen, I.A (1990) "Report on the state of nearshore processes research." Report from the Nearshore Processes Workshop, St. Petersburg, FL, April 1989, Rpt. OSU-CO-90-6, Oregon State University, Corvallis, Oregon.

Thornton, E., Dalrymple, R.A., Drake, T., Gallagher, E., Guza, R.T., Hay, A., Holman, R., Kaihatu, J., Lippman, T., and Ozkan-Haller, T. (2000) "Nearshore research: Report based on the nearshore workshop, St. Petersburg, FL, September, 1998," http://www.oc.nps.navy.mil/~thornton/report5.html.